



Habib Bank Zurich plc

Additional Applicant Form | HBZ
Personal | **SIRAT**

Account title

Account number

Personal details

Additional applicant 1

Additional applicant 2

Existing customer
if yes, please complete branch and account number

Yes No

Yes No

Branch

Account number

Prefix
if other, please specify

Mr Mrs Miss Ms Dr Other

Mr Mrs Miss Ms Dr Other

First name

Middle name

Surname

Gender

Marital status
if other, please specify

Male Female
Single Married Other

Male Female
Single Married Other

Nationality

Dual Nationality 1

Dual Nationality 2

Date of birth (DD/MM/YYYY)

/ /

/ /

City of birth

Country of birth

Profession

Type of ID
if other, please specify

Passport UK Driving Licence Other

Passport UK Driving Licence Other

ID number

ID expiry date (DD/MM/YYYY)

/ /

/ /

Are you a UK resident
if other, please specify

Yes No Other

Yes No Other

Are you registered on the UK voters roll
if no, do you have any County Court Judgements (CCJs)

Yes No

Yes No

Visa type

Visa reference number

Visa expiry date (DD/MM/YYYY)

/ /

/ /

Residential address	Additional applicant 1	Additional applicant 2
Number / Name / Street		
City / County / State		
Country		
Postcode / Zip code		
Date moved to this address (DD/MM/YYYY)	/ /	/ /
Proof of address (dated within 3 months)	Utility bill Council tax bill Bank statement Driving licence Government issued document	Utility bill Council tax bill Bank statement Driving licence Government issued document
<i>please specify government issued document</i>		

Please give your previous address if you've been at your present address for less than three years.

Number / Name / Street		
City / County / State		
Country		
Postcode / Zip code		
Date moved to this address (DD/MM/YYYY)	/ /	/ /

Personal contact details

Phone (mobile)		
Phone (work)		
Phone (residence)		
Email address		
Fax number		

**FATCA
(Self-Certification of 'U.S. Person' Status)**

	Additional applicant 1	Additional applicant 2
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The Foreign Account Tax Compliance Act (FATCA) was signed into U.S. law on March 18, 2010. It is aimed at preventing U.S. taxpayers from using accounts held outside of the U.S. to evade taxes. Any financial institution that fails to comply with FATCA will face a 30% withholding tax on a wide range of U.S. sourced payments to its clients.

Under U.S. federal tax law, Habib Bank Zurich plc is required to request certain taxpayer information from persons who maintain an account at Habib Bank Zurich plc (whether such persons are U.S. taxpayers or not). Information collected will be used solely to fulfill Habib Bank Zurich's plc requirements under FATCA and will not be used for other purpose.

Are you subject to U.S. taxation due to any reason? (e.g. U.S. Resident, U.S. Citizenship, U.S. Green Card, Birth in the U.S., substantial presence in the U.S)	Yes No <i>If yes, you will need to complete the IRS W-9 Form confirming the US Tax status.</i>	Yes No <i>If yes, you will need to complete the IRS W-9 Form confirming the US Tax status.</i>
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Tax regulations require Habib Bank Zurich plc (hereinafter the ‘Bank’) to collect certain information about each account holder’s tax residency status. This section is designed to capture the citizenship and residency for tax purposes of the person entitled to the income and assets associated with an account (the beneficial owner).

Please complete, where applicable, the relevant sections below and provide any additional information as may be required. Please be advised that in certain circumstances the Bank may be required to share this information with relevant tax authorities. If you have any questions about how to complete this Section, please contact your tax advisor. (For more information, please refer to CRS section in General Banking terms and conditions for Personal Account).

Please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Numbers (TIN).

For the purposes of taxation, I am tax resident in the following countries¹ and my Tax Identification Number (TIN) in each country is set out below or I have indicated that a TIN is unavailable:

Country 1		
TIN/functional equivalent		
Country 2		
TIN/functional equivalent		
Country 3		
TIN/functional equivalent		

If a TIN is unavailable, please provide the appropriate reason A, B or C:

Reason A: *The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.*

Reason B: *The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.*

Reason C: *TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.*

	Reason A	Reason B	Reason C	Reason A	Reason B	Reason C
<i>If you have selected Reason B, then please explain why:</i>						

¹ List all nationalities, if more than one.

¹ Generally, an individual is resident for tax purposes in a country if, under the laws of that country (including tax conventions), he/she pays or should be paying tax in that country by reason of his/her domicile, residence, or any other criterion of a similar nature (i.e. full tax liability), and not only from sources in that country. Dual resident individuals may rely on the tiebreaker rules stipulated in tax conventions (if applicable) for determining their residence for tax purposes. Your tax residence usually matches with your principal residence. For instance, a holiday apartment generally does not trigger unlimited tax liability and accordingly does not lead to a tax residence in that country.

Declarations and Undertakings

- I/We understand and acknowledge that you may provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Bank for tax purposes, a copy of account opening form signed by myself/us (which for the purposes of this clause we shall call the “Form”) and may disclose to such tax authorities or such party any additional information that you may have in your possession. I/We further acknowledge that information contained in this Form and information regarding income paid or credited to or for the benefit of the account(s) with us may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I/We am/are a resident for tax purposes pursuant to and in accordance with the relevant tax regulations.
- I/We understand and acknowledge that you may provide, directly or indirectly, a copy of this Form and information regarding income paid or credited to or for the benefit of the account(s) with us to: (i) any person that has control, receipt, or custody of income to which this Form relates; (ii) any person that can disburse or make payments of income to which this Form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes.
- I/We certify that I/We am/are the beneficial owner (or am/are authorized to sign for the individual that is the beneficial owner) of all the income to which this Form relates or I/We am/are using this Form to document myself/ourselves as an individual/(s) that is an owner of an account held at the Bank.
- I/We confirm that all the assets deposited with you under the above indicated banking relationship are fully declared and subject to regular income / wealth taxation in accordance with the relevant tax regulations and that any and all transactions, in which the Bank is to provide banking services, are effected for legitimate reasons and do not form or intend to form part of a scheme or an arrangement for which the main purpose, or one of the purposes, is the illegal avoidance of tax liability in the relevant tax jurisdiction(s).
- I/We declare that all information provided and the statements made in this Declaration are, to the best of my/our knowledge and belief, correct and complete and undertake to submit a new form within 30 days if any certification on this Form becomes incorrect.
- Further, I/We acknowledge that reporting and/or disclosure consequences may occur, if I/We fail to comply with my/our obligations to submit the necessary forms and/or documentation following a change in circumstances.



Habib Bank AG Zurich is the trading name of Habib Bank Zurich plc.
Registered office: Habib House, 42 Moorgate, London EC2R 6JJ.
Registered in England and Wales: Company registered number: 08864609.

Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority
and the Prudential Regulation Authority under registration number 627671.
Habib Bank Zurich plc is covered by the Financial Services Compensation Scheme.

HBUK 017 Sirat – 05.02.2021